



# Regulatory Changes Ahead:

## Is Your Board Prepared?

Training your Board on the regulatory impacts to your bank's operations is critical to protecting your bank – but not an easy task.

Now, with ABA's new ***Compliance Guidelines for Bank Boards***, you can keep your Board well-informed and well-trained on the most recent regulations so that they can provide adequate oversight. And, they'll be prepared before your bank's next regulatory exam.

Our series of five individual modules, complete with a Leader's guide, is designed specifically for compliance officers to develop an effective bank director training program. Each module is content-rich and was developed in conjunction with ABA's extensive network of compliance practitioners and subject matter experts. Packaged as individual PowerPoint presentations – it is training that is flexible and easy to use. Plus, we've included a self-paced Facilitator Skills for Board Training module to give you presentation tips and guidance.

### Modules Include:

- BSA/AML Training for the Board of Directors
- Insiders and Reg O
- Effective Compliance Program Management
- Gaining Compliance Support and Board Training Basics
- Preparing for the Exam – What You Need to Know – A Director's Perspective

### With ***Compliance Guidelines for Bank Boards***, you will be able to:

- In just 20-30 minute modules— summarize and cover key components of regulations
- Train and brief your Board on the basics of regulatory rules before your bank's next regulatory exam
- Educate the Board on new and revised rules that affect bank operations
- Ensure bank directors' training meets examination requirements
- Inform your Board on the latest developments to help them provide adequate oversight and augment their monitoring of the banks' compliance program.

**Pricing:** \$395 ABA Members  
\$595 Non Members

*Each module comes with a Leader's Guide. As a value added bonus, included in the package is a self-paced facilitation module, which gives you all the tools for an effective, comprehensive Board Training program.*

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**For more information:**  
**Contact Connie Laguna at South Florida CFT**  
**claguna@mdc.edu or call 305-237-3051.**



## Compliance Guidelines for Bank Boards

### Module Descriptions

#### **BSA/AML Training for the Board of Directors**

*BSA/AML Training for the Board of Directors* introduces the Board to their responsibilities for the bank's BSA/AML compliance and provides in-depth background on BSA/AML including the latest BSA developments.

#### **Insiders and Reg O**

*Insiders and Reg O* explains the basics of Regulation O and its restrictions and limitations. The course also focuses on reporting and recordkeeping requirement expectations.

#### **Effective Compliance Program Management**

*Effective Compliance Program Management* introduces the principles of compliance risk management and the director's responsibility in providing oversight to effectively manage the compliance program. The course addresses the key concepts of risk management and the processes for identifying, controlling, measuring, prioritizing, and monitoring regulatory and compliance risks.

#### **Gaining Compliance Support and Board Training Basics**

*Gaining Compliance Support and Board Training Basics* is an e-learning course that will prepare the compliance officer to effectively deliver training on key compliance regulations and rules to their Boards and Bank Directors.

#### **Preparing for the Exam: What You Need to Know—A Director's Perspective**

*Preparing for the Exam: What You Need to Know—A Director's Perspective* explains the importance of communicating with the Board by sharing previous examination findings. This course also describes what should be included in a work plan to detail each step of the examination. It explores the methods to communicate examination findings and share an action plan with the Board.

This course also includes guidance on building effective relationships with your bank's regulators.